



# AML Guide

## Acclaim Invest

### AML Identity verification requirements

#### Acclaim Invest

ABN 79 563 135 038 ARSN 660 973 044

The AML/CTF Act requires the Responsible Entity to adopt and maintain an anti-money laundering and counter-terrorism financing (AML/CTF) program. The AML/CTF program includes ongoing customer due diligence, which may require the Responsible Entity to collect further information.

- Identification documentation provided must be in the name of the Applicant.
- Non-English language documents must be translated by an accredited translator.
- Applications made without providing this information cannot be processed until all the necessary information has been provided.
- If you are unable to provide the identification documents described, please contact Client Services on 1300 264 264 or via email [info@acclaimwealth.com.au](mailto:info@acclaimwealth.com.au).

The Responsible Entity requires certified copies of identification documents and the signed application form.

Account type	Identification requirement
Individual or Joint	Group A
Trustees of self-managed super fund (SMSF)	Group C & E
Trustees of trusts	Group D & E
Companies	Group F or G



**GROUP A - Individuals**

Each individual investor, individual trustee, partner, beneficial owner, or individual agent or authorised representative must provide one of the following primary photographic ID:

**Please note: If you consent to us electronically verifying your identity, copies of your identity documents do not need to be certified but must include the card or document number (which sometimes appears on the back of the card or document).**

- A current Australian driver’s licence (or foreign equivalent) that includes a photo and signature
- An Australian passport (not expired more than 2 years previously)
- An identity card issued by a state or territory government that includes a photo
- A current passport (or similar) issued by a foreign government or the United Nations (UN) (or an agency of the UN) that includes your photograph and signature

If you do NOT own one of the above ID documents, please provide one valid option from Column A and one valid option from Column B.

Column A	Column B
<ul style="list-style-type: none"> <li>○ Australian birth certificate</li> <li>○ Australian citizenship certificate</li> <li>○ Pension card issued by Department of Human Services (previously known as Centrelink)</li> </ul>	<ul style="list-style-type: none"> <li>○ A document issued by the Commonwealth or a state or territory within the preceding 12 months that records the provision of financial benefits to the individual and which contains the individual’s name and residential address.</li> <li>○ A document issued by the Australian Taxation Office within the preceding 12 months that records a debt payable by the individual to the Commonwealth (or by the Commonwealth to the individual), which contains the individual’s name and residential address. Block out the TFN before scanning, copying or storing this document.</li> <li>○ A document issued by a local government body or utilities provider within the preceding 3 months which records the provision of services to that address or to that person (the document must contain the individual’s name and residential address).</li> <li>○ If under the age of 18, a notice that: was issued to the individual by a school principal within the preceding 3 months; and contains the name and residential address; and records the period of time that the individual attended that school.</li> </ul>



## **GROUP B – Partnerships**

Provide Group A verification documents for at least one partner and each beneficial owner of the Partnership and one of the following:

- A certified copy or certified extract of the partnership agreement.
- A certified notice issued by the Australian Taxation Office (ATO) within the last 12 months.
- An original or certified copy of a certificate of registration of business name issued by a government agency in Australia.
- A certified copy or certified extract of minutes of a partnership meeting.

All the above must show the full name of the partnership.

## **GROUP C – Registered Managed Investment Scheme, Regulated Superannuation Fund (including a self-managed super fund) or Government Superannuation Fund**

Provide one of the following:

- A copy of the company search on the ATO database.
- A copy of the company search of the relevant regulator's website.
- A copy or relevant extract of the legislation establishing the government superannuation fund sourced from a government website.

All the above must show the Trust's full name and type (i.e. registered managed investment scheme, regulated superannuation fund (including a self-managed super fund) or government superannuation fund).

## **GROUP D – Other Trusts**

Provide Group A verification documents for each beneficial owner of the trust and one of the following:

- A certified copy or certified extract of the Trust Deed.
- A certified copy of the Annual report or audited financial statements.
- A certified copy of a notice issued by the ATO within the previous 12 months.
- A certified copy of signed meeting minutes.

All the above must show the full name of the Trust, its trustees, and the settlor of the Trust.

## **GROUP E – Trustees**

- If you are an individual trustee – please provide the identification documents listed under Group A.
- If you are a corporate trustee – please provide the identification documents listed under Group F or G.
- If you are a combination of both – please provide the identification documents for each investor type listed under Group A and F or G.





### **GROUP F – Australian companies**

Provide Group A verification requirements for each beneficial owner (senior managing official and shareholder) listed in the application and one of the following:

- A certificate of company registration or licence
- A copy of a company search on the ASIC database.
- A copy of information regarding the company's licence or other information held by the relevant Commonwealth, state or territory regulatory body e.g. AFSL, RSL, ACL etc.

All of above must clearly show the company's full name, its type (i.e. public or proprietary) and ACN.

### **GROUP G – Non-Australian companies**

Provide Group A verification documents for each beneficial owner (senior managing official and shareholder) listed in the application and one of the following:

- A certified copy of the company's Certificate of Registration or incorporation issued by ASIC or the jurisdiction's equivalent.
- A certified copy of the company's articles of association or constitution.
- A copy full company search on the ASIC database or relevant foreign registration body.

All of above must clearly show the company's full name, its type (i.e. public or private) and ARBN or identification number issued to the company.

### **GROUP H – Agents**

If you are an individual agent – please provide the identification documents listed under Group A.

If you are a corporate agent – please provide the identification documents listed under Group F or G.

### **Additional information**

In most cases the information that you provide in this form will satisfy the AML/CTF Act, the United States Foreign Account Tax Compliance Act (FATCA) and the Common Reporting Standards (CRS). However, in some instances the Responsible Entity may contact you to request further information. It may also be necessary for the Responsible Entity to collect information (including sensitive information) about you from third parties in order to meet its obligations under the AML/CTF Act, FATCA and CRS.

Phone: 1300 264 264 Fax: (07) 3899 7299 Website: [www.acclaimwealth.com.au](http://www.acclaimwealth.com.au)

The Responsible Entity is committed to respecting the privacy of your personal information.

You can view a copy of Acclaim Wealth's Privacy Statement by visiting [www.acclaimwealth.com.au/privacy](http://www.acclaimwealth.com.au/privacy).

